

Administrative and Business Practice

Record Management

All units of the university are responsible for ensuring appropriate use, retention and destruction of university records by establishing and following record management practices consistent with this policy. Retention periods for various categories of records are set forth in Appendix 1 to this policy, which will be updated as necessary. The university is committed to record management in accordance with applicable laws and regulations as well as established best practices, reasonable business judgment, and common sense. Management of records must meet legal standards, optimize the use of both electronic and physical space, preserve the university's history, and ensure that redundant, outdated and useless records are destroyed.

Certain departments designated as official repositories are charged with setting record management standards to assure consistent record management throughout the university. Other departments must follow these standards. The media on which records are stored (for example: paper, various electronic media or film) does not change the length of time they should be retained. The protection of security and privacy of information must be integral to both the maintenance and destruction of records. Also refer to the Information Security Policy for the types of information requiring enhanced protections.

Official Repositories

Departments or individuals (i.e. principal investigators) designated as official repositories of university records bear particular responsibility for the management of university records under their care and jurisdiction. This responsibility includes the destruction of university records that have no archival value when the established retention period has

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passed. Standards for the retention of various categories of records are established by the official repositories listed in Appendix 1 to this policy. Departments designated as official repositories may, in consultation with the Office of the General Counsel and the Compliance Office, make changes to retention standards.

Types of Records

University records include all records created and received to support the educational, research and administrative activities of the university. They may be paper originals or copies or electronic files. Records generated as electronic mail (as e-mail or attachments) should be retained as either paper copies or stored on electronic media with appropriate storage and back-up protocols. E-mail is retained on university servers (and many departmental e-mail servers) for no longer than 1 year.

Official repositories have been established for the following types of records:

- Employment and Payroll
- Business and Financial
- Student
- Research
- Donor
- Archival

Please contact the official repository with questions you have about established retention standards for the types of records under their care. Questions concerning legal requirements for the retention of university records not listed in the appendix should be directed to the Office of the General Counsel at (213) 740-7922.

Changes in Record Retention Periods

Record retention periods may be increased by government regulation, judicial or administrative consent order, private or governmental contract, pending litigation or audit requirements. Such modifications supersede the

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requirements established by the official repositories. Any record that is related to any litigation, claim, financial management review, audit, investigation, or subpoena must be retained until the litigation, claim, financial management review, audit, investigation, or subpoena has been fully addressed or resolved and final action taken. Suspension of record destruction required for any of these reasons will be accomplished by notice from the Office of the General Counsel or the Office of Compliance.

Archival Records

The University Archives are a component of the USC Libraries Special Collections. The archive promotes knowledge and understanding of the university's origins, aims, programs, and goals. The records permanently stored in the archive include records and reports of the university, its officers and component parts, including faculty and students; maps and architectural records; audiovisual materials including still photographs and negatives, motion picture film, oral history interviews, audio and video tapes; and artifacts and ephemera documenting the university's history. Archival records are inactive records no longer retained to meet legal standards or serve an educational or administrative purpose, but which have permanent or historic value. The university archives will not accept records which require the enhanced security and privacy standards detailed in the Information Security Policy. For information concerning the archiving of records, consult the university archivist at (213) 740-2587.

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Appendix 1. Record Retention Schedule

The following are the record retention schedules established by each official repository of records and are subject to periodic review and change. Copies of these records that are held by units other than the official repository are secondary copies and should be destroyed in accordance with record management policy when they no longer serve a useful administrative purpose. For more information about the retention of any type of record listed here, contact the official repository.

Type of Record	Official Repository	Retention Period
STUDENT RECORDS		
Admission, Undergraduate		
Applicants who do not enroll	Enrollment Services Division	Three years after application term
Applicants who enroll	Enrollment Services Division	Five years after graduation or date of last attendance
Applicants who enroll, letters of recommendation	Enrollment Services Division	Until enrollment
Non-applicants who send documents	Enrollment Services Division	One year from date of receipt
Admission, Graduate		
Applicants who do not enroll	School of application	Three years after application term
Applicants who enroll	School of application	Five years after graduation or date of last attendance
Applicants who enroll, letters of recommendation	School of application	Until enrollment
Non-applicants who send documents	School of application	One year from date of receipt
Financial Aid		
Federal student loans	Enrollment Services Division	Five years after application plus five years after loan is assigned, canceled or repaid
Non-Discrimination in Education Programs Compliance Reports		Three years after filing reports
Federal Student Financial Aid Program Records and Fiscal Records	Enrollment Services/ Financial Aid	Three years from award year
Federal Student Financial Aid Recipient Records	Enrollment Services/ Financial Aid	Three years from award year
Federal Student Financial Aid Program Records and Fiscal Records	Enrollment Services/ Financial Aid	Three years from award year
Perkins original promissory notes	Enrollment Services/ Financial Aid	Until loan is satisfied or documents are needed to enforce obligation
Registration and Attendance/Academic Progress Records		
Academic records (grades, transcripts, change of grade forms, class lists, original grade sheets, graduation lists)	Registrar	Permanent
Academic action authorizations (dismissal, etc.); advanced placement and other placement tests; correspondence; credit by examination reports/scores; curriculum change authorizations; degree audit records; graduation authorizations; military documents; name change authorizations; transfer credit evaluations	Registrar	Five years after graduation or date of last attendance
Withdrawal authorizations	Registrar	Two years after graduation or date of last attendance
Applications for graduation; student class schedules; medical records, personal data information forms	Registrar	One year after graduation or date of last attendance
Audit authorizations, changes of course (add/drop); credit/no credit approvals (audit, pass/fair, etc.); registration forms; student transcript requests	Registrar	One year after date submitted

Type of Record	Official Repository	Retention Period
Grade reports (registrar's copies)	Registrar	One year after date distributed
FERPA records of disclosures and requests for disclosures	Registrar	Retained for same period as records to which they refer
Federal Disclosure Records (crime statistics/security report, SRK graduation/completion, transfer out data, athletic participation/EADA documents, institutional information)	Registrar/Dept. of Public Safety	Three years from date of disclosure
Hold or encumbrance authorizations	Registrar	Until released
Publications, Statistical Data/Documents, and Institutional Reports (catalogues, commencement program, degree statistics, enrollment statistics, grade statistics, race/ethnicity statistics, published schedule of classes)	Registrar	Permanent
Grade book data	Instructor of record (If instructor leaves the university; department takes over records)	Four years
Final exams and all other grade work which effected the course grade	Instructor of record (If instructor leaves the university; department takes over records)	One year
Foreign student enrollment (F-1 and M-1 visas)	Office of International Services	Five years after last enrollment
Foreign exchange visitors (J-1 visa)	Office of International Services	Five years after last enrollment
Student Disciplinary Records	Student Affairs	Seven years
CAMPUS CRIME		
Data on criminal offenses on campus	Dept. of Public Safety	Three years from date data was available
DONOR		
Trusts/Bequests	University Advancement	Indefinitely
Real Property	University Advancement	Indefinitely
EMPLOYEE BENEFITS ADMINISTRATION		
Employee benefit plan documents	General Counsel	End of plan plus one year
Employee benefit plan reports (annual reports, summary of material modification, notice of reportable events, determination of benefits, employee reports)	Retirement Administration and Health Plans	Six years after filing
Enrollment and change forms/data	Benefits Administration	Ten years
Complaints against plans (non-medical)	Benefits Administration	Permanent
EMPLOYMENT and PAYROLL RECORDS		
Employment Forms Alien Questionnaire (Non-Resident), Application for employment, arbitration agreements, employee data form, equity and diversity form	Payroll Services	Termination plus seven years
Alternative Work Schedule Plan	Personnel Services	Termination plus seven years
Attendance Records	Home Department/Payroll Services	Seven years
Check Registers and check transmittals	Payroll Services	Three months
Child Support Orders	Payroll Services	Indefinitely
Discrimination and Harassment Complaints (protected classes)	Equity and Diversity	Three years in office; 10 years in storage
Direct Deposit Authorization	Payroll Services	Termination plus seven years
Employee complaints	Employee Relations	

Type of Record	Official Repository	Retention Period
Family and Medical Leave Records (dates of leave and FMLA notice)	Home Department	Three years
Garnishments	Payroll Services	Indefinitely
I-9 Form	Payroll Services	Indefinitely
Job Description (Staff only)	Payroll Services	Termination plus seven years
Job Information Questionnaire	Payroll Services	Termination plus 7 years
Labor Distributions	Home Department	Seven years
Memos to file from Personnel Services (i.e. termination paperwork)	Payroll Services	Termination plus seven years
Memos-15-Year Service Letters	Payroll Services	Permanent
Name Change (Data Form)	Payroll Services	Termination plus seven years
Payroll Data including date paid, time period covered, gross to net, deductions, taxes and reductions	Payroll Services	Termination plus seven years
Payroll Check Request	Payroll Services	Termination plus seven years
Performance reviews and memos to file regarding job performance	Home Department	Termination plus seven years
Personnel Request Form (PS-130)	Payroll Services	Termination plus seven years
Resume	Payroll Services	Termination plus seven years
Salary Exception Requests	Payroll Services	Termination plus seven years
Social Security Number Change (Data Form)	Payroll Services	Termination plus seven years
Stop Payment Orders	Payroll Services	Termination plus seven years
Subpoenas	Payroll Services	Two Years
Tax—Federal levies	Payroll Services	Indefinitely
Tax—Returned Undelivered IRS Form w-2	Payroll Services	Five years
Tax Forms Revenue Procedure Letters, 1001 and 1001Q, Scholarships, California DE-4, IRS 1078, IRS 8233, W-4	Payroll Services	Termination plus seven years
Termination Form and supporting documentation (including letters and agreements)	Payroll Services	Termination plus seven years
Time Reports—CWSP	Payroll Services/ Home Department	Five years Five years
Time Reports—Non CWSP	Payroll Services/Home Department	Four years
Work Injury Records	Workers' Compensation	Termination plus 40 years
Work Schedules	Home Department	Three years
FINANCIAL AND BUSINESS RECORDS		
Bank reconciliation Disbursement cancelled checks, bank reconciliations, JVs, files with balance	Student Account Services	Indefinitely
Bursar unclaimed property records	Student Account Services	Indefinitely
Bursar student microfiche/CDs	Student Account Services	Permanently
Bursar adjustment requests	Student Account Services	seven years
Bursar accounting records	Student Account Services	Three years
Bursar system access requests	Student Account Services	One year

Type of Record	Official Repository	Retention Period
Collections Promissory notes, credit applications, correspondence with students, internal memos, collegian agencies correspondence, bankruptcy notices, returned checks, Buckley waiver forms, files with zero balance	Student Account Services	Indefinitely
Fee assessment forms and tuition and fee charges	Student Account Services	Five years after graduation or date of last attendance
Student Credit Card information	Student Account Services	Seven years
Corporate —Incorporation	Office of General Counsel	Permanent
Corporate -Board	Office of General Counsel	Permanent
Positive Pay (Pos Pay) notifications and check image from bank	Disbursement Control and Accounts Payable	Four years
Disbursement JVs	Disbursement Control and Accounts Payable	Four years
Independent Contractor Agreements (Unrestricted)	Disbursement Control and Accounts Payable	Five years after final payment
Independent Contractor Agreements (Restricted)	Disbursement Control and Accounts Payable	Five after final project payment and final audit
California 590 Forms—Withholding Exemption	Disbursement Control and Accounts Payable	Three years after final payment
IRS 1099 Forms	Disbursement Control and Accounts Payable	Four years
Sales use tax accruals	Disbursement Control and Accounts Payable	Four years or after final state audit
Direct Deposit or Electronic Funds Transfer Applications (initiation / change)	Disbursement Control and Accounts Payable	Two years after no payment activity
Electronic Data Interchange (EDI) applications (initiation / change)	Disbursement Control and Accounts Payable	Two years after no payment activity
Payment history	Disbursement Control and Accounts Payable	Permanent
Gift account documents	Comptroller's Office	
Mailing/Material Management financial documentation	Mailing and Material Management Services	Seven years
Mailing Services outgoing mail requisition	Mailing and Material Management Services	Seven years
Mailing Services JVs and backup	Mailing and Material Management Services	Seven years
Material Management gas cylinders documentation	Mailing and Material Management Services	Permanent
Mailing Services work orders and postal documents	Mailing and Material Management Services	Seven years
Corporate card payment records (Procurement Card, Travel Card, and Ghost Card)	Travel Management and Corporate Card Services	Permanent
Procurement card statements and transaction receipts(Unrestricted accounts)	Charging Department	Four years
Procurement card statements and transaction receipts (Restricted accounts)	Charging Department	Four years after final project payment and completion of final audit
Purchase Orders (other than sponsored project accounts and subcontracts)	Purchasing Services	Five years after final payment
Purchase Orders—sponsored project accounts and subcontracts	Purchasing Services	Five years after final project payment and final audit
Supplier Contracts	Purchasing Services	Five years after final payment
New Supplier Information Forms	Purchasing Services	Three years after submission
Small Business Administration (SBA) Certification	Supplier Diversity Services	Until they expire
Small Business Government Sub-Contracting Plans	Supplier Diversity Services	Five years after final project payment or completion of final audit
Space Management space inventory working copies	Financial Services	Three years
Space and Equipment Mgmt final files of university owned equipment and negative finals	Financial Services	Seven years
Space and equipment mgmt final files for government/sponsored equipment	Financial Services	Seven years after disposition
Space and equipment mgmt physical inventory documentation	Financial Services	Five years
Space and equipment mgmt inventory change request forms	Financial Services	Indefinitely

Type of Record	Official Repository	Retention Period
Space and equipment mgmt journal vouchers	Financial Services	
Sponsored project account files	Sponsored Projects Accounting	Permanent
Sponsored project JVs (payroll and non-payroll transfers)	Sponsored Projects Accounting	Permanent
Sponsored project month- and year-end closing records	Sponsored Projects Accounting	Permanent
Annual Internal Revenue Information Returns	Tax Accounting	Permanent
Los Angeles taxes, permits, fees	Tax Accounting	Seven years
REDC records (real estate transactions, tax returns, etc.)	Tax Accounting	Permanent
Student Loans Promissory notes, correspondence with borrower, agency assignments information, student correspondence	Student Account Services	Indefinitely
Student Loan Payment Plan information	Student Account Services	Five years
Ticket Office sales documents and annual audit docs	Financial Services	Seven years
Ticket Office electronic seating files/history	Financial Services	Indefinitely
Travel-Related Purchase Orders (unrestricted accounts)	Travel Management and Corporate Card Services	Five years after final payment
Travel-Related Purchase Orders (restricted accounts)	Travel Management and Corporate Card Services	Five years after final project payment and final audit
Travel JVs and routine financial information	Business Services	Four years
Travel supplier contracts	Travel Management and Corporate Card Services	Five years after final payment
University Real Estate Deeds	University Real Estate	10 years after the property is sold by the university
Mortgages	University Real Estate	Seven years after payoff of mortgage
Bills of sale	University Real Estate	Seven years after transfer of property from university
Contracts and leases	University Real Estate	Five years after expiration
Property appraisals	University Real Estate	Seven years after the property is sold by the university
Property records	University Real Estate	Seven years after the property is sold by the university
USCard USCard paper sales records	Business Services	Seven years
USCard paper trustee card activity	Business Services	Seven years
USCard paper meal plan administration forms	Business Services	Seven years
USCard electronic blackboard system information	Business Services	Indefinitely
USCard electronic dist/vol activity/ payroll deduction reports	Business Services	Indefinitely
USCard DataCard images	Business Services	Indefinitely
University Policies Signed policies, communications about policy	Policy Development and Communication	Permanent

Type of Record	Official Repository	Retention Period
Intellectual Property Records		
Licensing summary sheets for all licensed cases; original agreements for significant cases (for example, cases that have generated significant income, have an unusual history, or were high profile) including but not limited to license, amendment, confidential disclosure, material transfers, and royalty distribution agreements; marketing summaries for significant cases, including a list of companies contacted and their response; for significant cases, correspondence, other than the File Wrapper, related to patent filing, prosecution and maintenance. Correspondence relating to government requirements. Correspondence relating to exceptions to USC policy; and, letters concerning substantive matters not otherwise covered under the policy.	USC Stevens Institute for Innovation	Indefinitely
Original license agreements and associated materials for cases that are not considered significant	USC Stevens Institute for Innovation	Seven years after their expiration, or for at least seven years after the last to expire subject of the license, whichever is longer
Patent application File Wrapper	USC Stevens Institute for Innovation	Until the patent issues
For cases that have not been licensed, or are not considered significant cases, correspondence, other than the File Wrapper, related to patent filing, prosecution and maintenance	USC Stevens Institute for Innovation	Until the expiration of the patent
Correspondence related to an agreement	USC Stevens Institute for Innovation	Until the agreement is signed, or issue has been resolved in writing
Original patents and abstracts, invention disclosures and other information about an invention	USC Stevens Institute for Innovation	Until the expiration of the patent
Accounting and financial records	USC Stevens Institute for Innovation	Seven years
Dropped or abandoned patent applications	USC Stevens Institute for Innovation	Four years
Personal notes to the file (e.g., meeting summaries and agendas, and telephone conversations); brochures, pamphlets, articles and newsletters about a company or finished product; and, marketing letters	USC Stevens Institute for Innovation	Three years
Medical Records		
Patient Care Records	Care Provider	Recommended retention period Indefinitely. Best practice recommended by medical and dental associations based on (i) the possibility of professional negligence suits long into the future (with tolling generally based on when the patient should have discovered the tort) and (ii) the possible usefulness of the records for future patient treatments long into the future. Minimum retention period For adults: Ten years after the last date the patient is seen. For minors—greater of three years past the patient's 18 th birthday or for the period records are retained for adults (e.g. Ten years).

Type of Record	Official Repository	Duration
Research Data—Federally Sponsored Research		
<i>Scientific Records</i> , including research or laboratory notebooks; preliminary research reports or summaries; protocols; records relating to the source of research materials and tools (including embryonic stem cells); adverse event reports; research reports; publications; and any other records that are necessary for the reconstruction and evaluation of reported results of research and the events and processes leading to those results	Principal Investigator	Longer of three years from submission of final expenditure report; or three years from the completion of the research as defined in the original contract or grant award
<i>Research Involving Investigational New Drugs</i> , including records pertaining to the disposition of an investigational new drug, including dates, quantity, and use by subjects; case histories that record all observations and other data pertinent to the investigation on each person administered an investigational drug or employed as a control in the investigation (including the case report forms and supporting data—for example—signed and dated consent forms and medical records, progress notes of the physician, the person's hospital charts and the nurse's notes); and informed consent information	Principal Investigator	Longer of three years from submission of final expenditure report; or 2 years following the date a marketing application is approved for the drug for which it is being investigated; or if no application is to be filed or if the application is not approved for such indication, until 2 years after the investigation is discontinued and FDA is notified
Research Data—Other Research		
<i>Scientific Records</i> , including research or laboratory notebooks; preliminary research reports or summaries; protocols; records relating to the source of research materials and tools (including embryonic stem cells); adverse event reports; research reports; publications; and any other records that are necessary for the reconstruction and evaluation of reported results of research and the events and processes leading to those results	Principal Investigator	Three years from submission of final expenditure report; or longer period as required by sponsor and agreed to by university
<i>Research Involving Investigational New Drugs</i> , including records pertaining to the disposition of an investigational new drug, including dates, quantity, and use by subjects; case histories that record all observations and other data pertinent to the investigation on each person administered an investigational drug or employed as a control in the investigation (including the case report forms and supporting data—for example—signed and dated consent forms and medical records, progress notes of the physician, the person's hospital charts and the nurse's notes); and informed consent information	Principal Investigator	Longer of 2 years following the date a marketing application is approved for the drug for the indication for which it is being investigated; or if no application is to be filed or if the application is not approved for such indication, until 2 years after the investigation is discontinued and FDA is notified; or longer period as required by sponsor and agreed to by University

Research Administration		Federally Sponsored	Privately Sponsored Research
<i>Agreement Records</i> , including funded proposals, grants, cooperative agreements, and contracts, but excluding Federal contracts containing the "Audit and Records" clause (FAR 52.214-26 or FAR 52.215-2) [See FAR 4.705]	Dept. of Contracts and Grants	Three years from submission of final expenditure report	Longer of: (i) 3 years from expiration or earlier termination of agreement; or (ii) longer period as required by sponsor and agreed to by University
<i>Federal Contracts Containing clause FAR 52.214-26 (Audit and Records—Sealed Bidding) or FAR 52.215-2 (Audit and Records—Negotiation)</i>	Dept. of Contracts and Grants	As required by 48 C.F.R. §§ 4.705-1 through 4.705-3 for the categories specified therein (Please contact Dept. of Contracts & Grants for copies of the specific requirements)	N/A, unless FAR 52.214-26 or FAR 52.215-2 is incorporated in agreement (including by reference), in which case follow the Federal requirements
<i>Non-Financial Reports</i> , including performance reports and correspondence with sponsor	Dept. of Contracts and Grants	Three years from submission of final expenditure report	Longer of: (i) 3 years from expiration or earlier termination of agreement; or (ii) longer period as required by sponsor and agreed to by University
<i>Financial Information</i> , including financial reports, invoices, receipts, vouchers and other records supporting incurred costs; budget and funding reports; cost sharing and in-kind contribution information; and program income and expenses	Sponsored Projects Accounting	Three years from submission of final expenditure report	Longer of: (i) 3 years from expiration or earlier termination of agreement; or (ii) longer period as required by sponsor and agreed to by University
<i>Conflict of Interest Information</i> , including Disclosure of Financial Interest forms and any other disclosures of financial interest in the research; and any documentation of any actions taken to mitigate or address an investigator's financial interest in research	Office of the Provost	Longer of: (i) three years from submission of final expenditure report; or (ii) 2 years from approval of any marketing application for FDA covered clinical trials	Longer of: (i) 3 years from expiration or earlier termination of agreement; or (ii) 2 years from approval of any marketing application for FDA covered clinical trials; or (iii) longer period as required by sponsor and agreed to by University
<i>OMB Circular A-133 and Other Audit Reports</i>	Dept. of Financial Analysis, Financial and Business Services	Longer of: three years after the date of issuance of the auditor's report; or (ii) until all contested audit findings have been resolved	Longer of: three years after the date of issuance of the auditor's report; or (ii) until all contested audit findings have been resolved
<i>Effort Certifications and Payroll Information</i> , including effort reports/certifications and any supporting documentation	Payroll Services via OTIS	Three years from submission of final expenditure report	Longer of: (i) three years from expiration or earlier termination of agreement; or (ii) longer period as required by sponsor and agreed to by University
<i>Vendors, Subcontractors and Subrecipients Information</i> , including solicitations; bids; records evidencing the basis for subcontractor selection (including any sole source justification); cost or price analyses; award notices; agreements; correspondence; invoices; payment records; and reports	Dept. of Contracts and Grants	Three years from submission of final expenditure report	Longer of: (i) three years from expiration or earlier termination of agreement; or (ii) longer period as required by sponsor and agreed to by University
<i>Real Property and Equipment</i> , including purchase and sales documents; warranty information; inventory reports (including inventories of Government furnished property); and related information	Principal Investigator and Equipment Management	Three years from the date that record is submitted as part of the rate negotiation process. If record is not submitted as part of rate negotiations, then three years from the end of the fiscal year covered by the proposal or other computation	Three years from final disposition

Rate Information , including indirect cost rate computations or proposals; cost allocation plans; and any similar accounting computations of the rate at which a particular group of costs is chargeable (such as computer usage chargeback rates or composite fringe benefit rates)	Dept. of Financial Analysis, Financial and Business Services	Three years from the date that record is submitted as part of the rate negotiation process. If record is not submitted as part of rate negotiations, then three years from the end of the fiscal year covered by the proposal or other computation	Same as Federal requirement
Institutional Review Board Records , including copies of all research proposals reviewed; scientific evaluations that may accompany proposals; approved sample consent documents; progress reports submitted by investigators; reports of injuries to subjects or adverse experiences; minutes of meetings; attendance logs; records of continuing review activities; copies of all correspondence between IRB and investigators; list of IRB members; and statements of significant new findings provided to subjects	Institutional Review Board	(i) Three years for records of general applicability; or (ii) three years after activity's completion for records relating directly to applications, proposals, and proposed significant changes in ongoing activities reviewed or approved by the IRB	Same as federal requirements
Records of Data & Safety Monitoring Boards , including copies of all research proposals reviewed; progress reports submitted by investigators; reports of injuries to subjects or adverse experiences; minutes of meetings; attendance logs; records of continuing review activities; and copies of all correspondence between Board and investigators	Institutional Review Board	(i) Three years for records of general applicability; or (ii) three years after activity's completion for records relating directly to applications, proposals, and proposed significant changes in ongoing activities reviewed or approved by the IRB	Same as federal requirement
Animal Care Records			
Institutional Animal Care and Use Committee Records , including minutes of IACUC meetings; attendance logs; copies of approved Assurances; records of proposed activities (including applications and proposals) involving animals and proposed significant changes in activities involving animals; records of semiannual IACUC reports and recommendations; and records of accrediting body determinations	Institutional Animal Care and Use Committee	At least three years; <u>provided that</u> records that relate directly to proposed activities and proposed significant changes in ongoing activities reviewed and approved by the IACUC shall be maintained for the duration of the activity and an additional three years after completion of the activity	Same as federal requirements
Records Regarding Research Involving Dogs or Cats , including the following forms: USDA Interstate and International Certificate of Health Examination for Small Animals (APHIS Form 7001/VS Form 18-1); Record of Acquisition and Dogs and Cats on Hand (APHIS Form 7005/VS Form 18-5); and Record of Disposition of Dog and Cats (APHIS Form 7005/VS Form 18-5).	Institutional Animal Care and Use Committee	At least three years	At least three years
Grievances , including records relating to allegations of research or other misconduct, including records of inquiry, investigation, and disposition	Vice Provost for Research Advancement	At least three years after final resolution of the matter	Same as federal requirement
Biosafety Records , including registrations regarding authority to handle select agents; CDC Form EA-101s and support documentation regarding the transfer or receipt of select agents; records regarding use or disposal of select agents; logs regarding access to select agents	Biosafety Office	Longer of five years from the date of transfer or five years from the date agents are consumed or properly disposed of	Same as federal requirement